DISCLOSURES & SECTION 13 CERTIFICATION

As an authorised financial services provider, we are required by law to make certain disclosures in line with the Financial Advisory and Intermediary Services Act 37 of 2002

Status of the Financial Services Provider in terms of the FAIS Act

- ☑ Secure FX Trade (Pty) Ltd is an authorised Financial Services Provider, Company Registration number 2019/181455/07, FAIS License number 50310
- ☑ In the event you are dissatisfied with any aspect of our service, please address your concerns to jill@securefx.co.za
- ☑ A copy of the FSP'S Licence is available for inspection on request.

Responsible Person & Key Individual Personal Contact Information

- ☑ Jillian M Wilmans (SA ID 750320 0046 080) holds a B Comm from the University of KZN and is the accountable person as well as the registered key Individual for Secure FX. She participates in all the decision-making and management for the FSP
- ☑ Contacted on: jill@securefx.co.za | +27 21 286 9022 | +27 83 287 9930 | 39 Tokai Road, Kirstenhoff, Cape Town,7945, SA

Registered Representatives

- ☑ Reece Loeve – (SA ID 9902245315088)- Under Supervision
- ☑ Jillian Wilmans – (SA ID 750320 0046 080)

Secure FX Contact Information

- ☑ Physical Address: Ground Floor Phoenix House, 39 Tokai Road, Kirstenhoff, Cape Town, 7945, South Africa
- ☑ Contact: +27 21 286 9022 | info@securefx.co.za

Remuneration

- ☑ Secure FX does not charge fees on cross-border payment transactions. A standard and agreed flat fee may be levied directly from the authorised dealer bank and reflected on the bank statement – (SWIFT FEE)
- ☑ Secure FX will provide you with a rate of exchange that once confirmed will be honoured by the bank, this rate is all-inclusive and no additional fees nor commissions will be levied by Secure FX or the local authorised Dealer bank.
- ☑ Secure FX is remunerated by the Authorised Dealer Bank for all financial transactions and will not invoice its clients for international payment transactional services.
- ☑ Ancillary services may be charged at a rate agreed to upfront prior to the commencement of the work – these services include SARB applications, SARS TCS pin approvals, financial emigrations, SARS Letters of compliance and consulting related to complex SARB applications.

Memberships

- ☑ Secure FX is a founding member of the South African Association of Treasury Advisors
- ☑ Secure FX has subscribed to the Global FX Code
- ☑ Secure FX is a registered Primary Accountable Institution with the Financial Intelligence Centre
- ☑ Secure FX through the key individual is a member of the South African Institute of Tax Practitioners

Insurance Particulars

- ☑ Secure FX carry Professional Indemnity and Fidelity Insurance through RSUM (Pty) Ltd Liability and Western National Insurance Company

Authorised Services, Licensed Categories and Product Suppliers

- ☑ Secure FX accepts full responsibility for the actions of its appointed representatives acting within the mandates listed below. The appointed Representatives are authorised to render intermediary services in respect of Treasury Services and Treasury Risk Management.
- ☑ Secure FX, the licensed FSP, is able to provide services in the following categories: Derivative Instruments and Short-Term Deposits.
- ☑ Secure FX will not be held liable in terms of any prejudice in respect of services or advice provided by any representative which falls outside the scope of this authorization. Any complaints in respect of any product which falls outside the definition of financial products of the FAIS Act, cannot be forwarded to the FAIS Ombudsman.
- ☑ Secure FX has an arrangement with its product providers (SA Authorised Dealer banks) and our representatives are authorised to place business with them. Secure FX transacts on behalf of their clients with the following suppliers: Investec Bank Limited, Capitec Bank Limited and Access Bank South Africa Limited. Secure FX has no financial interest in any product supplier.
- ☑ Secure FX can confirm that we do receive more than 30% of our total remuneration from Access Bank South Africa Limited.

Waiver of Rights

- ☑ The General Code of Conduct stipulates that no financial services provider may request or induce in any manner a client waiver any right of benefit conferred on the client by/or in terms of any provisions of the said Code, or recognize, accept, or act on any such waiver by a client. Any such waiver will be null and void.

Policies and Complaints Procedures

- ☑ Secure FX has the below mentioned approved policies, all of which are available upon request and on our website. If you would like a copy or need to address any related matters, please contact Jill Wilmans (jill@securefx.co.za)
- ☑ Conflict of Interest Policy, Complaints Policy, Treating Customers Fairly Policy, POPIA Policy, Remuneration Policy
- ☑ Please note that in terms of the FAIS Act, all complaints must be addressed to us in writing. Should your concerns not be addressed to your satisfaction, you can address the matter with the ombudsman whose details appear below.

Compliance officer details

- ☑ Masthead Compliance (Pty) Ltd
- ☑ Contact: +27 21 555 4121 | info@masthead.co.za
- ☑ Unit 6, Canal Edge 4, Tyger Waterfront, Carl Cronje Drive, Bellville, 7530

FAIS Ombudsman details for all FAIS-related matters

- ☑ 125 Dallas Avenue, Menlyn Central, Waterkloof Glen, Pretoria, South Africa, 0010
- ☑ Contact: +27 11 064 1672 | info@faisombud.co.za

Acknowledged by: _____

SECURE FX
Integrity • Authenticity • Security • Transparency